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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/821,308	04/09/2004	Yvone Manz	5454-6

27799  
 COHEN, PONTANI, LIEBERMAN & PAVANE  
 551 FIFTH AVENUE  
 SUITE 1210  
 NEW YORK, NY 10176

CONFIRMATION NO. 9698

## FORMALITIES LETTER



\*OC000000013023421\*

Date Mailed: 06/22/2004

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*
- The oath or declaration is unsigned.

Replies should be mailed to: Mail Stop Missing Parts  
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COHEN, PONTANI, LIEBERMAN & PAVANE

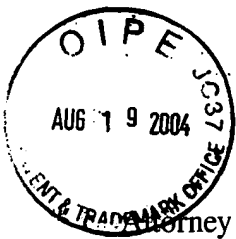
A copy of this notice **MUST** be returned with the reply.

A handwritten signature in black ink, appearing to be 'S. M. 1', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket # 5454-6

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yvone MANZ et al.

Serial No.: 10/821,308

Filed: April 9, 2004

For: Modular Power Semiconductor Module

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 17, 2004  
(Date of Deposit)

Roger S. Thompson

Name of applicant, assignee or Registered Representative

*R.S. Thompson*  
Signature

August 17, 2004  
Date of Signature

Mail Stop **Missing Parts**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION -FILING DATE GRANTED**

S I R:

In order to complete the filing of missing parts for the above-identified application, applicants submit herewith the following:

- ☒ Executed Declaration and Power of Attorney (attached to a copy of the application as originally filed).
- ☒ Check in the amount of \$130.00 to cover the late filing of the Declaration and Power of Attorney, as required by 37 CFR 1.16(e).
- ☒ Assignment of the invention to **SEMIKRON Elektronik GmbH**
- ☒ Recordation cover sheet - PTO Form 1595
- ☒ Check in the amount of \$40.00 to cover the recordation fee
- ☒ Information Disclosure Statement w/Cert. of Mail
- ☒ 6 sheets of Drawings Figs. 1-7
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application

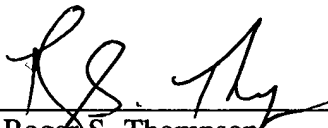
It is noted that the Notice of Incomplete Non-provisional Application indicated that the application was deposited without drawings. It is believed that the drawings herein were filed with the application and a duplicate set is enclosed herewith. It is noted that these drawings are described in the specification and identical to the drawings in the German application from which priority is claimed, as shown in the priority document filed concurrently with the filing of the instant application.

If an extension of time to respond to this Notice To File Missing Parts of Application is required, then this paper also constitutes an express request for such an extension of time.

In the event that any additional fees or charges are deemed necessary in connection with the application at the present time, the same may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

By: \_\_\_\_\_

  
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Dated: 17 August 2004